Investigation of the Transition Voltage Spectra of Molecular Junctions Considering Frontier Molecular Orbitals and the Asymmetric Coupling Effect

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ABSTRACT: This study experimentally and theoretically investigated the characteristics of transition voltage spectroscopy (TVS) with different asymmetric coupling in molecular junctions that were formed by several alkyl- and oligoacene-based molecules. On the basis of the analysis of a statistically significant number of device data (a total of ~300 devices and ~880 TVS data), we confirmed that the minimum point appearing in the Fowler–Nordheim (F–N) plot of the current–voltage characteristics depended linearly on the energy offset between the Fermi energy of the electrodes and the highest-occupied molecular orbital (HOMO) energy level of the molecular channel. We also observed that the slope of the log(I)−log(V) plot in molecular junctions changed sequentially. In addition, we extended a coherent molecular model by considering both the HOMO and the lowest-unoccupied molecular orbital (LUMO) levels of molecules and developed more comprehensive explanations for the TVS characteristics that are influenced by the asymmetric coupling, molecular length, and molecular orbital as well as for the sequential change in the slope of the log(I)−log(V) plot in molecular junctions.

INTRODUCTION

A general prerequisite for developing molecular electronic devices is a comprehensive understanding of the relation between the molecular orbitals and the electronic transport properties of molecular junctions.1–5 In molecular electronic junctions, the frontier orbitals (i.e., the highest-occupied molecular orbital (HOMO) and the lowest-unoccupied molecular orbital (LUMO)) of the molecules with respect to the Fermi level of the electrodes are important factors for electronic transport properties.1–5 The difference between the energy levels of the HOMO or LUMO (EHOMO or ELUMO) from the Fermi energy level (EF), that is, |EF − (EHOMO or ELUMO)|, determines the barrier height,6,7 which sets the different conducting criteria for molecular junctions.3,5,8 In 2006, Beebe et al. found a creative and simple method to estimate the barrier heights in molecular junctions. They observed that on metal–molecule–metal junctions with π-conjugated thiols of small HOMO–LUMO gaps the current–voltage (I–V) curves showed a transition from direct tunneling (DT) to field emission, which is known as Fowler–Nordheim (F–N) tunneling.6 The authors estimated the position of the nearest molecular orbital level with respect to the Fermi level of the electrodes, which determines the tunneling barrier height using a transition voltage (VT) profile from DT to F–N tunneling. This technique, which is called transition voltage spectroscopy (TVS), possesses the advantage of omitting the temperature-variable apparatus, and TVS can also be utilized as a good tool for obtaining barrier heights. Another main advantage of TVS is that one can study molecular junctions before the breakdown. The molecular junction often becomes unstable and breaks down because of the large current density and huge electric field when a large enough voltage is applied to probe HOMO or LUMO resonances. However, in TVS characterization, the TVS peaks (corresponding to the tails of HOMO or LUMO resonances) are obtained at relatively low voltage before the breakdown.9–11 Consequently, the TVS technique has been applied to various molecular junctions3,6,7,12–14 and even to semiconductor devices to obtain the barrier height.16

However, several interesting questions about TVS have been raised. For example, the TVS results for certain molecular junctions, such as alkanethiol junctions, showed...
molecular-length-independent transition voltage properties. This phenomenon cannot be described within the framework of the conventional model (i.e., the Simmons tunneling model). Later, these confusing results were resolved by Huisman et al. with a coherent molecular model that considered the HOMO level of alkyl molecules based on the Lorentzian-based transmission function. The model theoretically predicted that the transition voltage in alkyl-based molecular junctions was independent of the molecular length, except in cases of short molecules (particularly shorter than ~9 Å).

Recently, another theoretical paper on TVS reported that the minimum point in the $F - N$ plot is one of the general features in the integration of the tail of bell-shaped functions such as Lorentzian and Gaussian functions. This means that the minimum point does not necessarily correspond to the voltage for the exact onset of resonant tunneling. Furthermore, Chen et al. reported that the value of $(E_F - E_{HOMO})/V_T$ was found to vary from 0.86 to 2.0, depending on the junction asymmetry, but this value is based on extensive ab initio calculations of the non-linear current–voltage relations for a broad class of molecular junctions.

However, in view of the importance of the TVS technique in understanding molecular or organic electronic devices, more experimental and theoretical analyses on TVS are required. For example, to date, the research on the TVS of molecular junctions have been done mainly by conducting atomic force microscopy (AFM) and cross-wires junction techniques. However, in terms of device applications, solid-state device structure is more preferable to CAFM or cross-wires junction testbeds. Furthermore, in CAFM or cross-wires junction tools, it is not trivial to perform temperature-variable electrical characterizations to investigate charge conduction mechanisms to rule out parasitic transport mechanisms other than the tunneling transport mechanism unambiguously such as thermionic or defects-mediated transports. It is necessary to statistically analyze the TVS properties because the statistical approach is critically important for truly understanding the molecular charge transport characteristics and for reliable device applications. Also, the influence of the frontier molecular orbitals (i.e., HOMO and LUMO levels), molecular contacts, molecular structures, and the asymmetric coupling on TVS characteristics needs to be further theoretically investigated.

In this study, we report the statistical analysis of a large quantity of TVS data (a total of 883 TVS data) acquired from asymmetric metal–molecule–metal junctions (i.e., Au/SAM/PEDOT:PSS). Two prototypes of molecules, alkyl- and oligoacene-based molecules, were used in this study. Molecules with various lengths and contact groups were chosen to investigate the effects of these factors on the TVS characteristics and the change in transport regimes. Additionally, we extended the coherent molecular model by considering both the HOMO and LUMO levels of the molecules under different coupling strengths to the electrodes. We also developed more comprehensive explanations for the TVS characteristics that are influenced by asymmetric coupling, molecular length, and molecular orbital as well as for the sequential change in the slope of the $\log(I)$–$\log(V)$ plot in molecular junctions.

**EXPERIMENTAL SECTION**

**Device Fabrication.** A conventional photolithography method was used to pattern the bottom electrodes with Au (150 nm) and Ti (5 nm) on a p-type (100) Si substrate covered with thermally grown 300 nm thick SiO$_2$ in an electron beam evaporator under a pressure of $\sim 10^{-5}$ Torr and with a very low deposition rate of 0.1 to 0.2 Å. The root-mean-square (rms) roughness for the Au bottom electrode was found to be 0.7 to 0.8 Å using atomic force microscopy (AFM) images with a scan size of 2 $\mu$m × 2 $\mu$m (data not shown). Then, a positive photoresistor (PR) (AZ6612) was spin-coated on top of the Au bottom electrodes to isolate the molecular junctions electrically. The junctions were square-shaped with side lengths of 40 and 90 $\mu$m.

After the isolation process, the devices with PR patterns were annealed for at least 1 h at a temperature of 474 K to make the PR insoluble in ethanol during the formation of self-assembled monolayers (SAMs) on the electrode. The SAMs were formed on the Au bottom electrode in a nitrogen-filled glovebox with an oxygen level of less than ~10 ppm for 1 to 2 days. Then, the devices were thoroughly rinsed with ethanol to remove the remaining unbound molecules. On top of the SAMs, a suspension of conducting polymer PEDOT:PSS (poly-(3,4-ethylenedioxythiophene) stabilized with poly-(4-styrenesulfonic acid)) was spin-coated in two steps. The first step was at 500 rpm for 5 s, and the second step was at 3000 rpm for 35 s. The electrically conductive PEDOT:PSS layer prevented the formation of electrical shorts upon deposition of the Au top electrode.

The thickness of the PEDOT:PSS layer was found to be 200 to 300 nm and was measured by a surface profiler (Kosaka ET-3000). We added dimethyl sulfoxide (DMSO) to PEDOT:PSS (from H. C. Starck), which is a highly doped polymer with a conductivity of ~300 S cm$^{-1}$ obtained by a standard four-point-probe system with a Keithley 2400 current source and a HP 34420A nanovoltmeter. To avoid the unexpected thermal deterioration of the SAMs, we dried the devices coated with PEDOT:PSS at room temperature in a vacuum of ~1×10$^{-5}$ Torr.

Then, the Au top electrode (thickness 50–100 nm) was deposited on top of the PEDOT:PSS layer using an electron beam evaporator through a shadow mask. Reactive ion etching (RIE) with O$_2$ was used to remove the redundant PEDOT:PSS. The Au top electrode was used as a contact with the probes and as a shadow mask, whereas PEDOT:PSS was etched away using RIE to prevent a direct current path through PEDOT:PSS from Au top electrodes to Au bottom electrodes. All $I$–$V$ curves were obtained with a biasing condition that the voltage is applied to the top electrode (PEDOT:PSS/Au) while the bottom electrode (Au) is grounded.

**Formation of Self-Assembled Monolayer.** Four molecular species (DC8, DC12, Naph-NC, and Naph-SH) were self-assembled from molecular solutions on Au bottom electrodes. For molecular deposition, we used 5 mM molecular solutions and an incubation time of 1 to 2 days in a nitrogen-filled glovebox with an oxygen level of less than ~10 ppm to avoid potential oxidation problems.

**RESULTS AND DISCUSSION**

Figure 1 shows a series of scanning electron microscope (SEM) images of fabricated metal–molecule–metal junctions (Figure 1a), including a schematic diagram of the molecular junction (Figure 1b). To prevent the penetration of the top metal electrode, which could have caused an electrical short, we inserted an interlayer of high-conducting polymer (poly-(3,4-ethylenedioxythiophene) stabilized with poly-(4-styrenesulfonic acid), which is denoted by PEDOT:PSS) between...
the top metal and the SAMs of the molecules. (See the cross-sectional SEM image in Figure 1a.) We used four different molecules in our study, which can be distinguished according to their molecular backbone structures (i.e., alkyl-based vs oligoacene-based), the different lengths of the molecules, and the different metal—molecule contacts (i.e., Au-S vs Au-CN).

Specifically, octanedithiol ((i) DC8) and dodecanedithiol ((ii) DC12) were used for alkanedithiols, and naphthalene-isocyanide ((iii) Naph-NC) and naphthalene-thiol ((iv) Naph-SH) were used for oligoacene-based molecules, as shown in Figure 1b. The details of molecular device fabrication are explained in the Experimental Section.
We fabricated and characterized a number of molecular devices (~300 devices) to analyze the molecular electronic properties statistically. Figure 2a shows the statistical current density—voltage (J–V) data measured for four different molecular types at a temperature of 303 K. The error bars were calculated from the corresponding log-standard deviation of the individual devices for a total of ~300 devices. It can be seen that the J–V characteristics depend on the molecular length (i.e., DC8 vs DC12) and the metal—molecule contacts (i.e., Naph-NC vs Naph-SH). The conductance of DC8 is higher than that of DC12 because of the length dependence of the tunneling conductance. The difference in conductance between the Naph-SH and Naph-NC junctions can be explained by the metal—molecule contact properties of Au-S versus Au-CN. In fact, the Au-CN contact in molecular junctions is known to be more resistive than Au-S, which indicates the presence of a higher tunneling barrier at the contact for isocynide (CN)-linked molecules. Figure 2b shows representative ln(J/V^2)–1/V plots (F–N plots) from the four types of molecular junctions measured at 303 K. The points (i.e., δ(ln(J/V^2))/δ(1/V) = 0) in the curves are the minimum points (i.e., transition voltage V_T) in the F–N plot. A zoomed-in plot near the V_T for DC8, Naph-NC, and Naph-SH junction is shown in the inset of Figure 2b. Figure 2c shows the statistical histograms of V_T values (total 883 data) for the four types of molecular junctions determined from J–V data measured at different temperatures ranging from 78 to 303 K. As previously mentioned, it is important to perform temperature-variable electrical characterizations to investigate charge conduction mechanisms. On the basis of the temperature-independent V_T characteristics (inset of Figure 2c), we can conclude that the conduction mechanism for the molecular junctions in this study was tunneling. Interestingly, V_T did not depend on the length of alkyl molecules based on our statistical analysis, which is in good agreement with previous experimental and theoretical results. Note that the J–V characteristics for our molecular junctions exhibited symmetric tunneling behavior in terms of the bias polarity. (See Figure S4 in the Supporting Information.) Furthermore, we did not observe a significant difference in the transition voltages between positive and negative bias regions for our molecular junctions.

The molecular orbital dependence of V_T can be further analyzed in terms of the slope of a log–log plot of J–V characteristics. Figure 3a shows a statistical log(J)/log(V) plot for 55 DC8 molecular junctions measured at 303 K. It is important to note that near V_T, δJ/δV electronic coupling between states in the metal and the HOMO level of the molecules, thereby reducing the effective bias regions for our molecular junctions. The V_T values as a function of E_F – E_HOMO for four molecular systems. The E_F – E_HOMO values were obtained from the literature. Figure 3b shows that V_T depends linearly on the energy offset E_F − E_HOMO (in the case of a hole-type transport) for molecular junctions. Kim et al. reported that the E_HOMO value of oligoacene-SH series lies closer to E_F (Au) than that of the oligoacene-NC series by 0.7 to 1.3 eV based on ultraviolet photoelectron spectroscopy (UPS). They suggested that the different offset E_F−E_HOMO between the −S and −NC series is the reason for the difference in contact resistance (or junction resistance) between the oligoacene-SH and the oligoacene–NC molecules. The smaller offset observed for the oligoacene–SH molecule should produce more effective coupling between states in the metal and the HOMO level of the molecules, thereby reducing the effective barrier for tunneling at the contact. This result may suggest HOMO-mediated tunneling (i.e., p-type-like transport). We also confirmed that the E_HOMO value of oligoacene-NC molecular series was closer to the E_F of the electrodes than the E_LUMO value according to a density functional theory (DFT) calculation. (See Table S1 in the Supporting Information.) In this regard, we suggest that the HOMO level dominates the transport (i.e., E_F − E_HOMO < E_LUMO − E_F) for oligoacene-based molecules in our study. The E_F − E_HOMO values for the molecular systems used in our study are obtained from the literature, as summarized in Table 1.

To better understand the physics behind V_T and the transport regime change in molecular junctions, we employed an extended coherent molecular transport model that accounts for both of the frontier molecular orbital energy levels (i.e., E_HOMO and E_LUMO) with different coupling strengths to the electrodes.
This means that we considered both the contributions of tunneling electrons and holes near the frontier molecular orbital energy levels (i.e., LUMO and HOMO) and the asymmetric coupling effect. The $I-V$ characteristics were calculated from the Landauer formalism under the approximation that the entire potential drop occurs at the metal—molecule interface

$$I(V) = \frac{2e}{h} \int_{-\infty}^{\infty} T(E) [f_1(E, V) - f_2(E, V)] \, dE$$

(1)

where $f_{1,2}(E, V) = (\exp((E - \mu_{1,2})/kT) + 1)^{-1}$ is the Fermi distribution function for temperature $T$, the chemical potential is $\mu_1 = eV/2$ for the left contact and $\mu_2 = -eV/2$ for the right contact, $k_B$ is the Boltzmann constant, and $T(E)$ is the Lorentzian-based transmission function that depends explicitly on energy $E$ that peaks near the molecular orbital levels.\(^{10,27,29}\) Furthermore, because the molecules used in our study are strongly coupled only to the Au bottom electrode, the molecular orbital level is likely to follow the chemical potential of the Au bottom contact.\(^{30}\) Therefore, when a finite voltage is applied, these Lorentzian peaks will follow the chemical potential of the Au bottom electrode due to the stronger coupling to this electrode.\(^{18,30}\) With this consideration in mind, we assumed that the position of the Lorentzian peaks ($E_{\text{HOMO}}$ and $E_{\text{LUMO}}$) shifts from their original position by the degree of $(1/2 - \eta) \times V$ when a finite voltage is applied.\(^{18}\) Note that for the simplicity in the modeling we have assumed that the asymmetry in the electronic coupling is similar to the asymmetry in the voltage drop. Although the asymmetry in the voltage drop (i.e., asymmetry in the electrostatic potential drop) may not be exactly the same as the asymmetry in the electronic coupling (e.g., strong chemisorbed coupling, or poor physisorbed coupling), these two concepts of asymmetry are related each other. For example, the voltage drop across poor electronic coupling would be larger than that across strong electronic coupling. So, it would be a reasonable assumption that the asymmetry in the electronic coupling is similar to the asymmetry in the voltage drop. With this assumption, the voltage-dependent transmission function $T(E, V)$ can be expressed as follows.

$$T(E, V) = \frac{1}{4\eta(1-\eta)} + \frac{1}{(E - (E_{\text{HOMO}} + (1/2 - \eta) \times V))} \exp(\beta_{\text{HOMO}}d)$$

$$+ \frac{1}{4\eta(1-\eta)} + \frac{1}{(E - (E_{\text{LUMO}} + (1/2 - \eta) \times V))} \exp(\beta_{\text{LUMO}}d)$$

(2)

The details of the calculated $T(E, V)$ are explained in the Supporting Information. In the case of symmetric coupling with $\eta = 1/2$, the positions of the Lorentzian peaks do not change from the original positions (i.e., $E_{\text{HOMO}}$ and $E_{\text{LUMO}}$), regardless of the applied voltage. However, for a given degree of asymmetry $\eta (0 < \eta < 1/2)$, the Lorentzian peaks shift relative to the $E_F$ value of the electrode when a positive voltage is applied. In fact, this assumption is valid for the asymmetric molecular junctions with one chemisorbed contact (e.g., Au-S and Au-CN) and one physisorbed contact (e.g., molecule/PEDOT:PSS).

Figure 4a shows the calculated $T(E, V)$ for $N = 6$ in alkanedithiol junctions considering both $E_{\text{HOMO}} (-4 eV)$ and $E_{\text{LUMO}} (5.2 eV)$ under the condition of asymmetric coupling ($\eta = 10^{-1}$). A schematic of the energy band diagram illustrates how the molecular orbital levels move under finite voltage and asymmetric coupling. The inset shows the $V_T - \eta$ plot for $N = 6$ in the alkanedithiol molecular junction. (b) $V_T$ values calculated by the extended coherent molecular model considering both HOMO and LUMO levels under the condition asymmetric coupling as a function of $E_F - E_{\text{HOMO}}$ for alkanedithiols, Naph-NC, and Naph-SH molecular junctions at room temperature. The data used to derive the open black circles and the open orange square have been obtained from the PEDOT:PSS devices in this study and nanogap junctions in our previous study,\(^{21}\) respectively.

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Table 1. Summary of Transport Parameters for Molecular Junctions

<table>
<thead>
<tr>
<th>molecular materials</th>
<th>$E_F - E_{\text{HOMO}}$ (eV)</th>
<th>exp. $V_T$ (V) at 303 K</th>
<th>extended molecular coherent cal. $V_T\eta = 1/2$</th>
</tr>
</thead>
<tbody>
<tr>
<td>HS(CH$<em>2$)$</em>{18}$SH</td>
<td>4.10,26</td>
<td>1.09 ± 0.16 (for $N = 8$)</td>
<td>4.00 (for $6 &lt; N &lt; 14$)</td>
</tr>
<tr>
<td>Naph-NC</td>
<td>2.8$^{20}$</td>
<td>0.89 ± 0.07</td>
<td>3.22</td>
</tr>
<tr>
<td>Naph-SH</td>
<td>1.8$^{20}$</td>
<td>0.77 ± 0.09</td>
<td>2.07</td>
</tr>
</tbody>
</table>

$^a$ $E_F - E_{\text{HOMO}}$ values for the molecular systems used in this study were obtained from the literature.\(^{10,20,26}\)

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more asymmetric \( (\eta \to 0) \). (See the inset of Figure 4a.) We also calculated the \( T(E,V) \) and \( V_T \) values for different numbers of conjugation \( (N) \) in an oligoacene-based junction by considering both the HOMO and LUMO frontier molecular orbital levels under symmetric or asymmetric coupling conditions. (See Figure S7 in the Supporting Information.). Figure 4b shows the calculated \( V_T \) values for various molecular junctions as a function of \( E_F - E_{\text{HOMO}} \) using eq 2. The TVS characteristics indicate that the \( V_T \) positions of molecular junctions are determined by both the energy offsets \( (E_F - E_{\text{HOMO}}) \) and the degree of asymmetric coupling \( (\eta) \). When the asymmetric factor \( \eta \) approaches zero \( (i.e., \text{more asymmetric}) \) and when the energy offset \( (E_F - E_{\text{HOMO}}) \) in the case of a hole-type transport \( \) is smaller, \( V_T \) is reduced. This linear dependence of TVS on the energy offsets \( (E_F - E_{\text{HOMO}}) \) is in agreement with our experimental results. Furthermore, we can recognize from the \( V_T \) result in Figure S6 in the Supporting Information that the linear dependence of \( V_T \) versus \( E_F - E_{\text{HOMO}} \) is maintained, regardless of the LUMO level position in the case of a hole-dominant transport, as \( E_F - E_{\text{HOMO}} < E_{\text{LUMO}} - E_F \).

By considering the asymmetric factor \( \eta \) on \( V_T \), we can explain the difference of the experimental \( V_T \) according to the PEDOT: PSS-based junction and a nanogap system. In the case of the alkanedithiol molecule, we observed that the \( V_T \) observed in PEDOT: PSS-based junctions was found to be smaller than that observed in the nanogap junctions.\(^3\)\(^4\) This difference can be explained by the following argument; the PEDOT: PSS-based junction system has a relatively more asymmetric contact coupling due to the poor top contact of S/PEDOT:PSS as compared with the chemisorbed bottom contact property of Au-S.\(^3\)\(^5\) In the alkanedithiol junction in the nanogap junction system, however, both chemisorbed contact groups lie at both sides of Au electrodes. Therefore, the \( V_T \) value is larger because of the relatively more symmetric junction coupling in the nanogap junctions. This result indicates that it is important to consider the asymmetric coupling for a more comprehensive interpretation of \( V_T \) in a molecular junction system. Nevertheless, note that there is a significant discrepancy in the magnitude of \( V_T \) between the experimental and the calculated values in Figure 4b, which is often ascribed to the tunneling barrier lowering effects such as many-electron interactions.\(^3\)\(^3\)\(^5\) These many-electron interactions can lead to shifting the transmission function \( T(E,V) \) with respect to the \( E_F \) value of the electrode and narrowing the width of Lorentzian peaks under the weak coupling limit.\(^3\)\(^7\)\(^8\) Furthermore, the geometric feature of the molecules on the electrodes and their contact sites affect the shape of the transmission function \( T(E) \).\(^3\)\(^5\)\(^8\)\(^9\) We noticed that a more appropriate modeling of molecular junction systems would include the effect of electron correlation effect \( (\)the many-electron interaction\( ) \) and the exact geometry of the molecules on the electrodes. Note that the sequential change in the slope of the \( \log(I) - \log(V) \) plot in the molecular junctions can be also described by the extended coherent molecular model (Figure S8 in the Supporting Information).

## CONCLUSIONS

In summary, we both experimentally and theoretically investigated the TVS and the sequential change in the slope of the \( \log(I) - \log(V) \) plot for selected molecular junctions that are distinguished by molecular structure \( (\)i.e., alkyl- and oligoacene-based\( ) \), molecular length, and functional group \( (\)i.e., –NC and –SH\( ) \). The temperature-independent, asymmetric-coupling,

molecular-length-, and orbital-dependent TVS and the sequential change in the slope of the \( \log(I) - \log(V) \) plot were demonstrated experimentally. The observed features were explained well by the extended coherent model by considering both the HOMO and LUMO levels of molecules and the asymmetry of the coupling to the electrodes. This study extends current understandings of both charge transport in molecular junctions as well as TVS characteristics.

## ASSOCIATED CONTENT

### Supporting Information

Additional information is available on the process flow of the molecular device, temperature-variable \( J - V \) characteristics and \( \log - \log \) plots of the statistical \( J - V \) data, theoretical models \( (\)i.e., the Coherent molecular model considering frontier molecular orbitals and asymmetric coupling effect\( ) \); and molecular orbital levels for oligoacene-NC based on DFT. This material is available free of charge via the Internet at http://pubs.acs.org.

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